

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

09/05/2001 AB00NE1 00000043 09943285

01 FC:201

355.00 OP

PTO-1556
(5/87)

*U.S. GPO: 2000-468-987/39595

08/31/01

A

08/30/01

Jc952 U.S. PTO

FORM PTO-1082

Express Mail EL 904 935 533 US

PATENT
Atty. Docket No. 37261-670006
Date: August 30, 2001

UTILITY PATENT APPLICATION TRANSMITTAL

Box PATENT APPLICATION
COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Chih-Kung LEE, Yu-Hsiang HSU and Der-Chang Hsieh
For: PIEZOELECTRIC TRANSDUCER APPARATUS HAVING INDEPENDENT GAIN AND PHASE CHARACTERISTICS FUNCTIONS

Enclosed are:

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
☒ Applicant Claims Small Entity Status
☒ Specification, Claims, and Abstract (36 pages)
☒ 23 Sheet(s) of drawing (☒ formal, ☐ informal)
☒ Form PTO-1595 and an assignment of the invention to: _____
☒ A certified copy of TAIWAN Application No. 89117640 filed on August 30, 2000 from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119, ☒ will follow _____ is enclosed.
☐ An associate Power of Attorney.
☐ Combined Declaration and Power of Attorney.
☐ Information Disclosure Statement, Form PTO 1449, and _____ cited references
☐ Request and Certification under 122(b)
☒ Postcard

If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. 1.76:

- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)
of prior application No.: _____

Examiner: _____ Group/Art Unit: _____

- ☒ Check in the amount of \$355.00 to cover the filing fee is enclosed.
☐ Check in the amount of \$0.00 to cover the Assignment Recordation Fee.
☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-0410 of Baker & McKenzie.

A duplicate copy of this sheet is enclosed.

- ☒ Any additional filing fees required under 37 CFR 1.16.
☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Mitchell R. Brook

Registration No. 32,967
c/o BAKER & MCKENZIE
101 West Broadway, 12th Floor
San Diego, California 92101
(619) 236-1441

Jc879 U.S. PTO
09/943285
08/30/01

09043285-0830001

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 355.00

Complete if Known

Application Number	To be assigned
Filing Date	Filed herewith
First Named Inventor	Chih-Kung LEE et al.
Examiner Name	To be assigned
Group Art Unit	To be assigned
Attorney Docket No.	37261-670006

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 02-0410
Deposit Account Name: Baker & McKenzie

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	355.00
106 320	206 180	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 355.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
17	-20** = 0	0	0.00
2	-3** = 0	0	0.00
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY

Name (Print/Type)	Mitchell P. Brook	Registration No. (Attorney/Agent)	32,967	Telephone	619 236-1441
Signature		Date	8/30/01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Art Unit: To be assigned
Chih-Kung LEE et al.)	
)	Examiner: To be assigned
Serial No.: To be assigned)	
)	
Filed: Filed herewith)	
)	
For: TITLE)	
)	
)	

San Diego, California 92101
August 30, 2001

BOX PATENT APPLICATION
Commissioner For Patents
Washington, D.C. 20231

CERTIFICATE OF EXPRESS MAILING

Dear Sir:

"Express Mail" mailing label No. EL 904 935 533 US

Date of Deposit: August 30, 2001

I hereby certify that a Utility Patent Application Transmittal, Fee Transmittal, check in the amount of \$355.00 (filing fee), Patent Application including the Specification, Abstract, and Claims (36 pages), Formal Drawings (FIGS. 1-36 on 23 pages) and return postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to the Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.



Karen M. Cruz